

THE AUSTRALIAN STOCK HORSE SOCIETY LIMITED

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Australian Stock Horse

Rules and Regulations

Section 8 - Drugs Policy

1 DRUGS POLICY

1.1 Nil Prohibited Substances

- 1.1.1 All horses competing in Australian Stock Horse Society (ASHS) events or being offered at an ASH Approved Sales are subject to a No Prohibited Substance rule. This means that no Prohibited Substance is to be administered to the horse in the time before an event or sale such that it, or any ingredient or metabolism of it, might be present in the horse, might be detectable in its blood, saliva and/or urine samples, or might have any effect on its performance at the time of the event or sale.
- 1.1.2 The ASHS may, on its own volition or upon the request of a Branch or Management Council and without notice, request the registered owner (referred to in this Policy as "the owner") and/or rider/handler of a horse entered in an ASHS event, or an ASH approved sale (including those events and sales conducted by Branches or Management Councils), to take the horse immediately to the location selected by the testing personnel. The owner and/or rider/handler must present the horse for collection of blood, saliva and/or urine samples, removing equipment from the horse, leaving it quietly in the stall and avoiding any distractions.
- 1.1.3 The horse must be left under the supervision of the testing personnel until all sample collections are completed or until it is released by the testing personnel. The owner and/or rider/handler must witness the collection process and sign the relevant documents as requested. Owners and/or rider/handlers or their representatives are required to exhibit a polite attitude and actions towards the testing personnel at all times.
- 1.1.4 Should any mare or filly presented for drug testing under this policy be pregnant, it is the responsibility of the registered owner and/or rider/handler of a horse to advise the drug testing personnel of the mare's condition and supply the name of the stallion and the dates of service or insemination of such mare or filly. This information must be recorded on the drug testing documentation prior to the owner and/or rider/handler signing the documentation as a witness. In such case, the Society reserves the right to have a licensed Veterinarian pregnancy test the horse in question. Should the registered owner and/or rider/handler of a horse report false or misleading information in this regard, the member may be suspended at the discretion of the Board.
- 1.1.5 Under this Policy, the owner and/or rider/handler is held responsible and accountable for the condition of the horse and for compliance with this Policy. The owner shall ensure that any rider/handler is aware of the terms of this Policy and shall comply with any request made by the ASHS to the rider/handler in relation to this Policy.
- 1.1.6 In relation to an ASHS approved sale (including those sales conducted by Branches or Management Councils), the Society or any purchaser, at the fall of the Auctioneer's hammer, may request a swab or sample be taken from the subject horse at the expense of the purchaser or Society as the case may be, so requesting. If the purchaser so elects, the sale of the swabbed horse may be rendered null and void if the horse returns a positive swab to any prohibited substance.
- 1.1.7 In the absence of evidence to the contrary, it is presumed that the sample of blood, saliva and/or urine tested by the approved laboratory to which it is sent is the one taken from the horse in question, its integrity is preserved and that all procedures for same, collection,



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preservation, transfer to the laboratory and analysis of the sample, are correct and accurate, and the report received from the laboratory pertains to the sample taken from the horse in question and correctly reflects the condition of the horse during the event or sale in which it was entered.

1.2 Prohibited Substances

- 1.2.1 Any substance is a "prohibited substance" if it contains an ingredient that is a stimulant, depressant, tranquilliser, local anaesthetic, psychotropic (mood and/or behaviour altering) substance, or drug which might effect the performance of the horse and might interfere with the prohibited substance testing process.
- 1.2.2 The following substances are specified as prohibited substances:
- 1.2.2.1 List of prohibited substances:
- 1.2.2.1.1 Anileridine,
 - 1.2.2.1.2 Etorphine,
 - 1.2.2.1.3 Dipipanone,
 - 1.2.2.1.4 Endorphins,
 - 1.2.2.1.5 Human Erythropoietin, Darbepoetin Alfa,
 - 1.2.2.1.6 Human, Bovine and Porcine/Canine Insulin,
 - 1.2.2.1.7 Diacetylmorphine (heroin), Cocaine, Cannabinoids and Lysergic Acid Diethylamide (LSD),
 - 1.2.2.1.8 Amphetamines including Amphetamine, Methylamphetamine; Methylenedioxyamphetamine, Methylenedioxymethamphetamine,
 - 1.2.2.1.9 Phencyclidine,
 - 1.2.2.1.10 Gamma-hydroxybutyric Acid (GHB) and its salts.
- 1.2.2.2 Metabolites, artifacts and isomers of the substances specified in rule 1.2.2.1 of the ASHS Drugs Policy Regulations.
- 1.2.3 The following substances are declared as prohibited substances:
- 1.2.3.1 Substances capable at any time of acting directly or indirectly on one or more of the following mammalian body systems:
- 1.2.3.1.1 Central and/or peripheral nervous systems,
 - 1.2.3.1.2 Cardiovascular system,
 - 1.2.3.1.3 Respiratory system,
 - 1.2.3.1.4 Alimentary digestive system,
 - 1.2.3.1.5 Musculo-skeletal system,
 - 1.2.3.1.6 Uro-genital system,
 - 1.2.3.1.7 Endocrine system.
- 1.2.3.2 Substances falling within the following categories of substances:
- 1.2.3.2.1 Acidifying agents,
 - 1.2.3.2.2 Adrenergic blocking agents,
 - 1.2.3.2.3 Adrenergic stimulants,
 - 1.2.3.2.4 Alkalinising agents,
 - 1.2.3.2.5 Anabolic agents,
 - 1.2.3.2.6 Analgesics,
 - 1.2.3.2.7 Antiangina agents,
 - 1.2.3.2.8 Antiarrhythmic agents,

- 1.2.3.2.9 Anticholinergic agents,
 - 1.2.3.2.10 Anticoagulants,
 - 1.2.3.2.11 Antidepressants,
 - 1.2.3.2.12 Antihistamines,
 - 1.2.3.2.13 Antihypertensives,
 - 1.2.3.2.14 Anti-inflammatory agents,
 - 1.2.3.2.15 Blood coagulants,
 - 1.2.3.2.16 Bronchodilators,
 - 1.2.3.2.17 Bronchospasm relaxants,
 - 1.2.3.2.18 Buffering agents,
 - 1.2.3.2.19 Central nervous system stimulants,
 - 1.2.3.2.20 Cholinergic agents,
 - 1.2.3.2.21 Corticosteroids,
 - 1.2.3.2.22 Depressants,
 - 1.2.3.2.23 Diuretics,
 - 1.2.3.2.24 General anaesthetics,
 - 1.2.3.2.25 Haematopoietic agents,
 - 1.2.3.2.26 Hormones (including trophic hormones) and their synthetic counterparts,
 - 1.2.3.2.27 Hypnotics,
 - 1.2.3.2.28 Local anaesthetics,
 - 1.2.3.2.29 Muscle relaxants,
 - 1.2.3.2.30 Narcotic analgesics,
 - 1.2.3.2.31 Neuromuscular agents,
 - 1.2.3.2.32 Plasma volume expanders,
 - 1.2.3.2.33 Respiratory stimulants,
 - 1.2.3.2.34 Sedatives,
 - 1.2.3.2.35 Tranquillisers,
 - 1.2.3.2.36 Vasodilators,
 - 1.2.3.2.37 Vitamins administered by injection.
 - 1.2.3.3 Metabolites, artifacts and isomers of the prohibited substances prescribed by rules 1.2.3.1 and 1.2.3.2 of the ASHS Drug Policy Regulations; and
 - 1.2.3.4 Any substance designed to mask the presence of any of the substances referred to in rules 1.2.3.1 and 1.2.3.2 of the ASHS Drug Policy Regulations; and
 - 1.2.3.5 Any other substance declared by the Board from time to time, and notified to members via the Journal or other form of communication determined by the Board, to be a prohibited substance.
- 1.2.4 The following prohibited substances, when present at or below the concentrations respectively set out, are exempted from the provisions of rule 1.2.3 of the ASHS Drug Policy Regulations:
- 1.2.4.1 Alkalinising agents, when evidenced by total carbon dioxide (TCO₂) at a concentration of 36.0 millimoles per litre in plasma.
 - 1.2.4.2 Arsenic at a mass concentration of 0.30 milligrams per litre in urine.

- 1.2.4.3 Dimethyl sulphoxide at a mass concentration of 15 milligrams per litre in urine or 1.0 milligrams per litre of plasma.
- 1.2.4.4 In male horses other than geldings, 5 α -estrane-3 β , 17 α -diol in urine (including both the free substance and that liberated from its conjugates) at a mass concentration equal to or less than that of 5(10) estrane-3 β , 17 α -diol in urine (including both the free substance and that liberated from its conjugates).
- 1.2.4.5 Salicylic acid at a mass concentration of 750 milligrams per litre in urine or 6.5 milligrams per litre in plasma.
- 1.2.4.6 Hydrocortisone at a mass concentration of 1.00 milligrams per litre in urine.
- 1.2.4.7 Testosterone (including both the free testosterone and testosterone liberated from its conjugates):
 - 1.2.4.7.1 In geldings: at a mass concentration of 20 micrograms per litre in urine;
 - 1.2.4.7.2 In fillies and mares: at a mass concentration of 55 micrograms per litre in urine.
 - 1.2.4.7.3 In fillies and mares that have been notified as pregnant pursuant to the provisions of rule 1.1.4 of the ASHS Drug Policy Regulations at any concentration.
- 1.2.4.8 3-Methoxytyramine (including both free 3-methoxytyramine and 3-methoxytyramine liberated from its conjugates) at a mass concentration of 4.0 milligrams per litre in urine.
- 1.2.4.9 Boldenone in male horses other than geldings, (including both free boldenone and boldenone liberated from its conjugates) at a mass concentration of 15 micrograms per litre in urine.
- 1.2.4.10 Theobromine at a mass concentration of 2.00 milligrams per litre in urine.
- 1.2.4.11 Any other substance declared by the board from time to time, to be exempt.
- 1.2.5 The following substances are excepted from the provisions of rule 1.2.3 of the ASHS Drug Policy Regulations:
 - 1.2.5.1 Antimicrobials (antibiotics) and other anti-infective agents with the exception of Procaine Penicillin,
 - 1.2.5.2 Antiparasitics,
 - 1.2.5.3 Ranitidine,
 - 1.2.5.4 Omeprazole,
 - 1.2.5.5 Ambroxol,
 - 1.2.5.6 Bromhexine,
 - 1.2.5.7 Dembrexine,
 - 1.2.5.8 Vaccines against infectious agents,
 - 1.2.5.9 Orally administered glucosamine,
 - 1.2.5.10 Orally administered chondroitin sulphate,
 - 1.2.5.11 Altrenogest when administered to fillies and mares.
- 1.2.6 The use of so-called herbal and natural products in the horse could result in a positive prohibited substance test. The plant origin of any ingredient does not preclude it containing a pharmacologically potent and readily detectable prohibited substance. For the above reasons the ASHS cautions against the use of all so-called herbal and natural products to affect the performance of the horse.
- 1.2.7 The ASHS recommends that members contact a Veterinarian in relation to the time period a prohibited substance may remain in the horse's system and be detectable.

- 1.2.8 Samples taken from horses in pursuance of the powers of the Society shall be analysed by only an official testing laboratory.

1.3 Penalties

- 1.3.1 Refusal to comply, or unreasonable delay in complying, with the request by the ASHS in relation to the collection of samples shall be deemed to be "conduct prejudicial to the interests of the Society" and the provisions of Article 25 of the Constitution of the ASHS shall apply.
- 1.3.2 Within seven (7) days of a positive prohibited substance test the ASHS shall give notice in writing to the owner and/or rider/handler which notice shall include particulars of the penalties that shall thereupon apply.
- 1.3.3 The following penalties shall automatically apply in respect of a positive prohibited substance test:
- 1.3.3.1 Owner's 1st Offence - Awards won by the horse in any class at the event or show are forfeited. The ASHS membership of the registered owner of the horse will be suspended for a period of 13 months and the owner must pay to the ASHS a fine of \$500.00.
 - 1.3.3.2 Owner's 2nd Offence - Awards won by the horse in any class at the event or show are forfeited. The ASHS membership of the registered owner of the horse will be suspended for a period of 25 months and the owner must pay to the ASHS a fine of \$1000.00.
 - 1.3.3.3 Owner's 3rd Offence - Awards won by the horse in any class at the event or show are forfeited. The ASHS membership of the registered owner shall be liable for expulsion under the provisions of Article 25 of the Constitution of the ASHS.
 - 1.3.3.4 Horse Offence - A horse shall be banned from entry into any event conducted by the Society, events restricted to Australian Stock Horses or ASH Approved Sales for a period of 13 months at any given suspension.
 - 1.3.3.5 Rider/Handler Offence - A penalty of \$500.00 will be imposed on the rider/handler responsible for the performance of the horse.
- 1.3.4 All fines shall be payable within twenty-eight (28) days of the date of the notice referred to in rule 1.3.2 of the ASHS Drug Policy Regulations.
- 1.3.5 An owner who has received a notice under rule 1.3.2 of the ASHS Drug Policy Regulations may request that the second sample held be tested at his/her expense. Such request must be made within seven (7) days of the notice under rule 1.3.2 and if not made, the right to require a second test shall lapse and the remaining samples will be destroyed immediately thereafter. In that event, the original test shall be conclusive as to the presence of any prohibited substance.
- 1.3.6 If the second test shall prove negative, the ASHS shall reimburse the owner the amount paid by the owner for the second test and penalties shall be withdrawn.
- 1.3.7 Should the second test prove positive, the penalties imposed after the initial positive test shall stand.
- 1.3.8 Suspension is a state imposed by the ASHS in which all rights, privileges and transactions are held in abeyance until the suspension period has lapsed and fines are paid in full.
- 1.3.9 In the event that a horse, suspended from participating in ASHS events or sales, changes ownership, the change of ownership will not dissolve or shorten the term of suspension. A transfer application will not be effected until the suspension period has lapsed and fines are paid in full. A register of horses and members subject to periods of suspension will be made available at Head Office for inspection by prospective purchasers if requested.
- 1.3.10 The ASHS shall notify such Management Councils, Branches, members or other bodies as it deems necessary of any penalty imposed upon any owner and/or rider/handler for a prohibited substance offence.
- 1.3.11 Provided the Board is satisfied as to the integrity of the drug testing procedures adopted by such club, association or other body, any registered ASH suspended for a prohibited

substance offence by any club, association or other body, incorporated or unincorporated with similar objectives and activities to that of the ASHS, shall be excluded from participating in any ASH event or approved sale for the duration of the suspension.

1.4 Appeals

- 1.4.1 Any owner and/or rider/handler liable to a penalty under this Policy in respect of a prohibited substance offence may appeal to the ASHS Disciplinary Committee in respect of that offence. Such appeal must be lodged in writing within twenty-eight (28) days of the date of the notice referred to in rule 1.3.2 of the ASHS Drug Policy Regulations and must be accompanied by such fee as may be determined from time to time by the ASHS.
- 1.4.2 The Disciplinary Committee shall comprise any three members of the Board, selected by the Board upon the initiating of any proceedings before the Disciplinary Committee.
- 1.4.3 The Disciplinary Committee may conduct proceedings at any time or place it deems necessary to ensure the efficient disposition of the proceedings. All persons who constitute the Disciplinary Committee must be present at any hearing. Any person requesting the appeal must be given reasonable notice of the hearing and must be given the opportunity to make submissions, whether orally or in writing, to the Disciplinary Committee at the hearing.
- 1.4.4 The Disciplinary Committee shall not be bound by any rules of evidence and shall conduct the proceedings with minimum of formality and in such manner as it sees fit. The Disciplinary Committee may require any member or other person to attend at any proceedings and to furnish such information and evidence and to produce such documents relevant to the proceedings as the Disciplinary Committee deems fit. No person shall be legally represented before the Disciplinary Committee.
- 1.4.5 No person shall have any claim against the ASHS or against any Director, member, employee or agent of the Society in respect of any act, matter or thing, done in good faith during, or in connection with, the proceedings before the Disciplinary Committee.
- 1.4.6 Any member not abiding by the ASHS penalties, will be subject to such further disciplinary action under the constitution of the ASHS as may be determined by the Board.

1.5 Procedure Policies

- 1.5.1 The Board shall have the right to establish Drug Testing Procedure Policies governing the procedure for drug testing under this Policy. Any such policies shall form part of this Policy and shall be binding upon the ASHS and its members.
- 1.5.2 THE AUSTRALIAN RACING FORENSIC LABORATORY (ARFL) SAMPLE COLLECTION PROTOCOL BE ADOPTED FOR AUSTRALIAN STOCK HORSE DRUG TESTING.
- 1.5.3 The Board regularly update the prohibited substances from the Australian Racing Board Limited, Australian Rules of Racing (www.australian-racing.net.au/rules.html).